

# DRAFT

## **Attachment III to *The Fifth Grant Round: Decisions Made by the Salmon Recovery Funding Board at its December 4-5, 2003 Meeting.***

**(Revised 1/25/04)**

### **Proposed Evaluation Criteria for “Specificity of Strategy” and “Fit to Strategy”**

The SRFB’s Review Panel will evaluate how well each lead entity’s list of projects addresses the priorities identified in the lead entity’s strategy. To provide method to accomplish this that is consistent and “transparent” to lead entities, SRFB staff and the ITF is proposing that the Review Panel use a series of scored evaluation questions.

The SRFB agreed that it would be inappropriate to evaluate the quality of lead entity strategies for the Fifth Grant Round since there has been too little time for lead entities to react to the comments from the Fourth Round Technical Panel and the new *Guide to Lead Entity Strategy Development*. However, it is difficult to evaluate how well a lead entity’s list of projects addresses the priorities identified in the lead entity’s strategy if the strategy is vague, nonspecific, or lacks focus. Therefore, the Review Panel will also evaluate the specificity and focus of strategies.

In the proposed approach below, the Review Panel would evaluate the focus and specificity (“specificity”) of a strategy in four categories, producing a possible score between zero and one. The fit of a project list to the strategy (“fit to strategy”) is evaluated in two different categories and produces a possible score between zero and 15. The resulting score for “fit to strategy” is then multiplied by the score for “focus and specificity” to produce a final score between zero and 15.

### **Specificity and Focus of Strategy**

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The Review Panel’s evaluation of the specificity and focus of a strategy will be performed in four categories: species, habitat features and watershed processes, actions and geographic areas, and community issues. These areas are based on the *Guide to Lead Entity Strategy Development*. For each of the four categories the Review Panel will use scores ranging from zero to five points. The points will be added after applying the appropriate multipliers and then divided by the maximum possible number of points (40), resulting in a score for “specificity” that ranges from zero to 1.0. A strategy that is vague, nonspecific and lacks focus would score low while a strategy that is very specific and focused would score near or at 1.0.

## Species and stocks<sup>1</sup>

<u>Points</u>	<u>Specificity</u>	<u>Criteria</u>
5	Excellent	The strategy identifies all stocks <sup>2</sup> in the lead entity area, and the status of each stock. One or more stocks are prioritized and the lead entity's ranking criteria <sup>3</sup> reflect these priorities <sup>4</sup> .
4	Very Good	
3	Good	
2	Fair	
1	Poor	
0	Not Specific	Stocks are not prioritized in the strategy or ranking criteria.

The Review Panel will consider:

- Does the strategy clearly identify all of the stocks in the WRIA(s) comprising the lead entity area?
- Is the status of each stock presented?
- Are one or more stocks prioritized for habitat restoration and/or protection actions?
- Is there a clear rationale for establishing those priorities?
- Do the project ranking criteria reflect the priorities?

<sup>1</sup> See *A Guide to Lead Entity Strategy Development*, October 10, 2003, for details.

<sup>2</sup> "Stock" is a salmonid subpopulation as designated in the *Salmon and Steelhead Stock Inventory*. Alternatively, lead entities may choose the term "population" as used by NOAA-Fisheries.

<sup>3</sup> The Review Panel will expect that the ranking criteria used by the lead entity will be part of the lead entity strategy or will be submitted with the strategy.

<sup>4</sup> This means that the lead entity has identified one or several species or stocks as the highest priority for habitat protection and/or restoration actions. Lead entities are not expected to prioritize one listed species or stock over another, although they may want to prioritize one listed stock of the same species over another if NOAA-Fisheries or USFWS recovery documents have identified high priority populations for their area. A lead entity may also choose to prioritize unlisted species and stocks. If a lead entity strategy adopts a multispecies approach, it is important that the species or stocks be identified along with the rationale for selecting them.

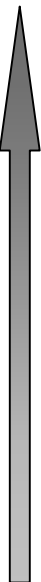
## Habitat features and watershed processes

<u>Points</u>	<u>Specificity</u>	<u>Criteria</u>
5	Excellent	The strategy clearly identifies limiting habitat features and watershed processes and prioritizes these habitat features and watershed processes for the benefit of priority species and stocks; the lead entity's ranking criteria reflect these priorities.
4	Very Good	
3	Good	
2	Fair	
1	Poor	
0	Not Specific	The strategy does not identify or prioritize limiting habitat features and watershed processes.

The Review Panel will consider:

- Does the strategy clearly identify watershed processes (i.e., habitat forming processes) that are limiting factors for prioritized stocks?
- Does the strategy clearly identify habitat features (i.e., habitat conditions) that are limiting factors for prioritized stocks?
- Does the strategy prioritize limiting watershed processes?
- Does the strategy prioritize limiting habitat features?
- Do the project ranking criteria reflect the above priorities?

## Actions and geographic areas

	<u>Points</u>	<u>Specificity</u>	<u>Criteria</u>
	5	Excellent	The strategy clearly identifies and prioritizes specific actions and geographic areas and the project ranking criteria reflect these priorities <sup>5</sup> .
	4	Very Good	
	3	Good	
	2	Fair	
	1	Poor	
	0	Not Specific	The strategy does not prioritize actions and areas.

The Review Panel will consider:

- Does the strategy clearly identify specific actions for restoration and/or protection of targeted habitat features and watershed processes?
- Does the strategy prioritize actions for restoration and/or protection of targeted habitat features and watershed processes?
- Does the strategy identify specific geographic areas associated with prioritized actions?
- Do the project ranking criteria reflect these priorities?

<sup>5</sup> Not all priority actions need to translate into priority areas of the watershed but all priority areas should have priority actions. See the *Guide to Lead Entity Strategy Development*.

## Community issues

<u>Points</u>	<u>Specificity</u>	<u>Criteria</u>
5	Excellent	The strategy clearly identifies community issues and concerns, describes how these concerns are taken into consideration in developing and prioritizing project lists, and proposes specific actions for building or maintaining community support for highest biological priority actions and areas.
4	Very Good	The strategy clearly identifies community issues and concerns and proposes actions for building or maintaining general community support for habitat restoration and/or protection but not specifically for priority actions and areas. The project evaluation criteria recognize community values of proposed projects and how a project would help build community support for other habitat restoration or protection efforts.
3	Good	The strategy identifies community issues and concerns but does not propose actions for building or maintaining community support. The project evaluation criteria recognize community values of proposed projects, including community support, but not how a project would help build community support for other habitat restoration or protection efforts.
2	Fair	The strategy is vague or non-specific about community issues. The project evaluation criteria recognize community values of proposed projects, including community support, but not how a project would help build community support for other habitat restoration or protection.
1	Poor	The strategy is vague or non-specific about community issues. The project evaluation criteria recognize only the community support of proposed projects but not how a project would help build community support for other habitat restoration or protection.
0	Not Specific	The strategy does not identify community issues and concerns and does not propose actions for building or maintaining community support. Community issues are not considered in the project evaluation criteria.

The Review Panel will consider:

- Does the strategy clearly identify community issues and concerns regarding salmon habitat protection and restoration?
- Does the strategy propose specific actions for building or maintaining community support for salmon protection and restoration efforts?
- Does the strategy propose specific actions for building or maintaining community support for highest biological priority actions and areas?
- Does the strategy prioritize these actions?
- Do the project ranking criteria reflect these priorities?

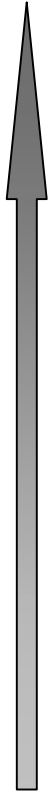
### **Fit of the Project List to the Strategy**

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The Review Panel's evaluation of the fit of the lead entity list of projects to the lead entity strategy will be performed using two categories: priority actions and areas, and project ranking. These areas are based on the *Guide to Lead Entity Strategy Development*. For each of the evaluation categories, the Review Panel will use scores ranging from zero to five points. The scores will be added after applying the appropriate multipliers, resulting in a total score for "fit to strategy" for each lead entity list (see table).

The five-point range for each category is considered a continuum. The outline below defines the extremes (zero and five points) and provides guidance to Review Panel members for choosing a value within that range.

## Actions and geographic areas

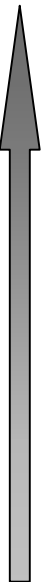
	<u>Points</u>	<u>Fit</u>	<u>Criteria</u>
	5	Excellent	The entire project list addresses the highest priority actions and areas <sup>6</sup> , benefiting the highest priority stocks and the highest priority habitat features and watershed processes.
	4	Very Good	Over two thirds of the project list addresses the highest priority actions and areas, benefiting the highest priority stocks and the highest priority habitat features and watershed processes. The entire list benefits the highest priority stocks.
	3	Good	Over half of the project list addresses the highest priority actions and areas, benefiting the highest priority stocks and the highest priority habitat features and watershed processes. Most of the list benefits the highest priority stocks.
	2	Fair	Most of the list benefits priority stocks but does not address the highest priority actions and areas. Much of the list may benefit habitat features but not the underlying watershed processes
	1	Poor	Part of the list benefits priority stocks but does not address the highest priority actions and areas or habitat features and watershed processes
	0	Does Not Fit	There is no connection between the project list and priorities addressed in the strategy.

The Review Panel will consider:

- The extent the project list addresses the highest priority action and areas, and
- The extent that those actions and areas benefit the highest priority stocks, limiting watershed processes, and limiting habitat features.

<sup>6</sup> Not all priority actions need to translate into priority areas of the watershed but all priority areas should have priority actions. See the *Guide to Lead Entity Strategy Development*.

## Fit of project ranking



<u>Points</u>	<u>Fit</u>	<u>Criteria</u>
5	Excellent	The rank <u>order</u> of the entire list of projects fits the highest priorities (stocks, habitat features, watershed processes, actions, geographic areas, community issues) presented in the strategy.
4	Very Good	The rank <u>order</u> of the most of the list of projects fits the highest priorities presented in the strategy.
3	Good	The rank <u>order</u> of the over half of the list of projects fits the highest priorities presented in the strategy.
2	Fair	The rank <u>order</u> of about a quarter of the list of projects fits the highest priorities presented in the strategy.
1	Poor	The rank <u>order</u> of only one or two projects fits the highest priorities presented in the strategy.
0	Does Not Fit	There is no clear justification for why projects are ranked the way they are.

The Review Panel will consider the extent the rank order of the project list addresses the highest priority:

- Stocks
- Limiting watershed processes
- Limiting habitat features
- Actions
- Geographic areas
- Community interests



## Combining the Results

The tables below combine the scores for each category. Several categories were given extra weight based on relative importance.

<b><u>Criteria: Specificity and Focus of Strategy</u></b>	<b><u>Multiplier</u></b>	<b><u>Total Possible Points</u></b>
Targeted species	1	5
Targeted habitat features and watershed processes	2	10
Priority actions and areas	3	15
Community issues	2	10
Total possible points for specificity		40
Score for “specificity and focus” = (Total Points)/40		1.00

<b><u>Criteria: Fit to Strategy</u></b>	<b><u>Multiplier</u></b>	<b><u>Total Points</u></b>
Priority actions and areas	2	10
Fit of project <u>ranking</u>	1	5
Total possible points for fit to strategy		15

**Total Score = (“Specificity and Focus” Score) x (“Fit to Strategy” Score)**